

Format of holding of specified securities

Name of Listed Entity: **UNIPHOS ENTERPRISES LIMITED**

Scrip Code : **500429** Name of Scrip : **UNIPHOS** Class of Security : **Equity**

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

a.If under 31 (1)(b) then indicate the report for quarter ending : **31.12.2015**

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes*	
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class Equity	Class eg: Y	Total								
(A)	Promoter & Promoter Group	10	50239885	0	0	50239885	72.24	50239885	0	50239885	72.24	0	0.00	0	0.00			50239885
(B)	Public	13470	19305964	0	0	19305964	27.76	19305964	0	19305964	27.76	0	0.00	0	0.00			18612297
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	13480	69545849	0	0	69545849	100.00	69545849	0	69545849	100.00	0	0.00	0	0.00			68852182

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class Equity	Class eg: Y									Total
(1)	Indian																		
(a)	Individuals/H.U.F																		
i)	Shilpa R Shroff	AALPS9282P	2	329123			329123	0.47	329123	0	329123	0.47	0	0	0	0.00	0	0.00	329123
ii)	Jyotsna J Bhatt	ABWBP6570H	2	47600			47600	0.07	47600	0	47600	0.07	0	0	0	0.00	0	0.00	47600
iii)	Jyotindra Manshankar Bhatt	ABWBP6569J	1	40600			40600	0.06	40600	0	40600	0.06	0	0	0	0.00	0	0.00	40600
iv)	Varun Jaidev Shroff	BJAPS5937A	1	1300			1300	0.00	1300	0	1300	0.00	0	0	0	0.00	0	0.00	1300
v)	Shaila Shashikumar Shroff	AALPS0053L	2	1150			1150	0.00	1150	0	1150	0.00	0	0	0	0.00	0	0.00	1150
vi)	Tania Jaidev Shroff	BJAPS5795L	1	500			500	0.00	500	0	500	0.00	0	0	0	0.00	0	0.00	500
(b)	Central/State Government(s)																		
(c)	Financial Institutions/Banks																		
(d)	Any Other (Specify)																		
	a) Bodies Corporate																		
	Nerka Chemicals Pvt Limited	AAACN9337Q	1	49819612			49819612	71.64	49819612	0	49819612	71.64	0	0	0	0.00	0	0.00	49819612
	Sub- Total (A)(1)		10	50239885	0	0	50239885	72.24	50239885	0	50239885	72.24	0	0	0	0.00	0	0.00	50239885
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)																			
(e)	Any Other (Specify)																		
	Sub- Total (A)(2)																		
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		10	50239885	0	0	50239885	72.24	50239885	0	50239885	72.24	0	0	0	0	0	0	50239885

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) Non- Institutions																	
(a) Individuals -																	
i. Individual shareholders holding nominal share capital up to Rs.2 lakhs.		12444	6969556	0	0	6969556	10.02	6969556	0	6969556	10.02	0	0.00	0	0.00		6468055
ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.		2	281162	0	0	281162	0.40	281162	0	281162	0.40	0	0.00	0	0.00		281162
Arun C Ashar	AAQPA2836A	1	985000	0	0	985000	1.42	985000	0	985000	1.42	0	0.00	0	0.00		985000
(b) NBFCs registered with RBI																	
(c) Employee Trust																	
(d) Overseas Depositories (holding DRs) (balancing figure) (GDR)		3	34962	0	0	34962	0.05	34962	0	34962	0.05	0	0.00	0	0.00		34162
(e) Any Other (Specify)																	
i) Bodies Corporate		338	1183682	0	0	1183682	1.70	1183682	0	1183682	1.70	0	0.00	0	0.00		1174828
ii) Individual NRI- Rep.- Non Rep.		654	540363	0	0	540363	0.78	540363	0	540363	0.78	0	0.00	0	0.00		359701
Vinod Mohan Nair	AJUPN7221R	1	1763937	0	0	1763937	2.54	1763937	0	1763937	2.54	0	0.00	0	0.00		1763937
iii) Trusts		1	17620	0	0	17620	0.03	17620	0	17620	0.03	0	0.00	0	0.00		17620
Sub- Total (B)(3)		13444	11776282	0	0	11776282	16.93	11776282	0	11776282	16.93	0	0.00	0	0.00		11084465
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		13470	19305964	0	0	19305964	27.76	19305964	0	19305964	27.76	0	0.00	0	0.00		18612297

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)			
									No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)				
									Class eg: X	Class eg: Y									Total		
(1)	Custodian/DR Holder																				
(a)	Name of DR Holder (If available)																				
(2)	Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																				
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)																				
<p>Note:</p> <p>(1) PAN would not be displayed on website of Stock Exchange(s).</p> <p>(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares</p> <p>(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.</p>																					