

UNIPHOS ENTERPRISES LIMITED

SHAREHOLDING PATTERN AS ON 30.09.2013

(I) (a) Statement showing Shareholding Pattern

BSE SCRIP CODE : 500429		Name of Script : UNIPHOS		Class of Security : Equity		Quarter Ended : 30.09.2013		
NSE SYMBOL : UNIENTER								
Category Code	Partly paid up shares			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
Outstanding Convertible Securities				Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
Held by Promoter/Promoter group				0	0.00	0.00		
Held by Public				0	0.00	0.00		
Total				0	0.00	0.00		
Warrants				Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
Held by Promoter/Promoter group				0	0.00	0.00		
Held by Public				0	0.00	0.00		
Total				0	0.00	0.00		
Total paid up capital of the Company, assuming full conversion of warrants and convertible securities								
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares As a percentage of (A+B)1 (A+B+C)		Shares pledged or otherwise encumbered Number of Shares As a %	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A) Shareholding of Promoter and Promoter Group²								
(1) Indian								
(a)	Individuals/H.U.F	9	419523	419523	1.65	1.65	0	0.00
(b)	Cental/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	1	13669179	13669179	53.75	53.66	0	0.00
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	10	14088702	14088702	55.40	55.30	0	0.00
(2) Foreign								
(a)	Non Resident Individuals/ Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualified Foreign Investor							
(e)	Any Other (specify)							
	Sub_ Total (A)(2)							
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	10	14088702	14088702	55.40	55.30	0	0.00

(contd2)

BSE SCRIP CODE : 500429		Name of Script : UNIPHOS		Class of Security : Equity			Quarter Ended : 30.09.2013	
NSE SYMBOL : UNIENTER		Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
Category Code	Category of Shareholders				As a percentage of		Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)		
(B) Public Shareholding³								
(1) Institutions								
(a)	Mutual Fund/UTI	3	2260	2260	0.01	0.01		
(b)	Financial Institutions/Banks	7	3200	3200	0.01	0.01		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds							
(e)	Insurance Companies	2	956456	956456	3.76	3.75		
(f)	Foreign Institutional Investors	13	1708899	1707049	6.72	6.71		
(g)	Foreign Venture Cap. Inv							
(h)	Qualified Foreign Investor							
(i)	Any Other (specify)							
Sub-Total (B)(1)		25	2670815	2668965	10.50	10.48	N . A.	N . A.
(2) Non Institutions								
(a)	Bodies Corporate	378	1157858	1145404	4.55	4.55		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	13671	5285375	4769389	20.78	20.75		
	ii) Holding nominal share capital in excess of Rs. 1 lakh.	4	424098	424098	1.67	1.66		
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
	Non Resident Individuals	726	1802860	1599988	7.09	7.08		
Sub-Total (B)(2)		14779	8670191	7938879	34.09	34.03	N . A.	N . A.
Total Public shareholding (B)=(B)(1)+(B)(2)		14804	11341006	10607844	44.60	44.52		
TOTAL (A)+(B)		14814	25429708	24696546	100.00	99.82	N . A.	N . A.
(C) Shares held by Custodians and against which Depository Receipts have been issued							N . A.	N . A.
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	3	44962	44162	0.18	0.18	N . A.	N . A.
GRAND TOTAL (A)+(B)+(C)		14817	25474670	24740708		100.00	0	0.00

UNIPHOS ENTERPRISES LIMITED

(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of shares held		Encumbered shares (*)				Details of Warrants			Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		No. of shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (1) (a)	No. of warrants held	As a % total No. of warrants of the same class	No. of Convertible securities held	As a % total No. of convertible securities of the same class				
														(III)
1	NERKA CHEMICALS PVT LTD	13669179	53.66	0	0.00	0	0.00	0	0	0	0	0	0	53.66
2	SHILPA R SHROFF	178511	0.70											0.70
3	SHILPA SHROFF	150612	0.59											0.59
4	JYOTSNA J BHATT	45500	0.18											0.18
5	JYOTINDRA MANSHANKAR BHATT	40600	0.16											0.16
6	JYOTSNA JYOTINDRA BHATT	2100	0.01											0.01
7	VARUN JAIDEV SHROFF	1300	0.01											0.01
8	TANIA JAIDEV SHROFF	500	0.00											0.00
9	SHAILA SHASHIKUMAR SHROFF	300	0.00											0.00
10	SHAILA SHASHIKUMAR SHROFF	100	0.00											0.00
Total		14088702	55.30	0	0.00	0	0.00	0	0	0	0	0	0	55.30

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

(I) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (1) (a) above}	Details of warrants held		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and conv. Securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	VINOD MOHAN NAIR	1248108	4.90					4.90
2	ARES DIVERSIFIED	1145000	4.49					4.49
3	LIFE INSURANCE CORPORATION OF INDIA	898756	3.53					3.53
4	ACACIA PARTNERS, LP	268591	1.05					1.05
TOTAL		3560455	13.98					13.98

(l) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (1) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
	NIL							

(l) (d) Statement showing details of locked-in shares

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (1)(a) above}
	NIL			

UNIPHOS ENTERPRISES LIMITED

(II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 500429 NAME OF SCRIPT : UNIPHOS				Quarter ended : 30.09.2013
NSE SYMBOL : UNIENTER				
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	GDR	22481	44962	0.18

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 500429 NAME OF SCRIPT : UNIPHOS				Quarter ended : 30.09.2013
NSE SYMBOL : UNIENTER				
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
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