

# UNIPHOS ENTERPRISES LIMITED

SHAREHOLDING PATTERN AS ON 31.12.2014

## (I) (a) Statement showing Shareholding Pattern

BSE SCRIP CODE : 500429		Name of Script : UNIPHOS		Class of Security : Equity		Quarter Ended : 31.12.2014		
NSE SYMBOL : UNIENTER								
Category Code	<b>Partly paid up shares</b>			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<b>Outstanding Convertible Securities</b>			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<b>Warrants</b>			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
<b>Total paid up capital</b> of the Company, assuming full conversion of warrants and convertible securities								
Category Code	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares As a percentage of (A+B)1 (A+B+C)		Shares pledged or otherwise encumbered Number of Shares As a %	
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )=(VIII)/(IV)*100
<b>(A) Shareholding of Promoter and Promoter Group<sup>2</sup></b>								
<b>(1) Indian</b>								
(a)	Individuals/H.U.F	9	420273	420273	0.60	0.60	0	0.00
(b)	Cental/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	1	46822121	46822121	67.36	67.33	0	0.00
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	<b>10</b>	<b>47242394</b>	<b>47242394</b>	<b>67.96</b>	<b>67.93</b>	<b>0</b>	<b>0.00</b>
<b>(2) Foreign</b>								
(a)	Non Resident Individuals/ Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualified Foreign Investor							
(e)	Any Other (specify)							
	Sub_ Total (A)(2)							
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	<b>10</b>	<b>47242394</b>	<b>47242394</b>	<b>67.96</b>	<b>67.93</b>	<b>0</b>	<b>0.00</b>

(contd .....2)

BSE SCRIP CODE : 500429		Name of Script : UNIPHOS		Class of Security : Equity			Quarter Ended : 31.12.2014	
NSE SYMBOL : UNIENTER		Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
Category Code	Category of Shareholders				As a percentage of		Number of Shares	As a %
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )		
<b>(B) Public Shareholding<sup>3</sup></b>								
<b>(1) Institutions</b>								
(a)	Mutual Fund/UTI	3	2260	2260	0.00	0.00		
(b)	Financial Institutions/Banks	6	1200	1200	0.00	0.00		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds							
(e)	Insurance Companies	2	956456	956456	1.38	1.38		
(f)	Foreign Institutional Investors	14	5631307	5629457	8.10	8.10		
(g)	Foreign Venture Cap. Inv							
(h)	Qualified Foreign Investor							
(i)	Any Other (specify)							
<b>Sub-Total (B)(1)</b>		<b>25</b>	<b>6591223</b>	<b>6589373</b>	<b>9.48</b>	<b>9.48</b>	<b>N . A.</b>	<b>N . A.</b>
<b>(2) Non Institutions</b>								
(a)	Bodies Corporate	372	1393483	1383529	2.00	2.00		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	12835	6233103	5708333	8.97	8.96		
	ii) Holding nominal share capital in excess of Rs. 1 lakh.	8	1694437	1694437	2.44	2.44		
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
	Non Resident Individuals	676	6356247	6169141	9.14	9.14		
<b>Sub-Total (B)(2)</b>		<b>13891</b>	<b>15677270</b>	<b>14955440</b>	<b>22.55</b>	<b>22.54</b>	<b>N . A.</b>	<b>N . A.</b>
Total Public shareholding (B)=(B)(1)+(B)(2)		<b>13916</b>	<b>22268493</b>	<b>21544813</b>	<b>32.04</b>	<b>32.02</b>		
TOTAL (A)+(B)		13926	69510887	68787207	100.00	99.95	<b>N . A.</b>	<b>N . A.</b>
<b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b>							<b>N . A.</b>	<b>N . A.</b>
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	3	34962	34162	0.05	0.05	<b>N . A.</b>	<b>N . A.</b>
<b>GRAND TOTAL (A)+(B)+(C)</b>		<b>13929</b>	<b>69545849</b>	<b>68821369</b>		<b>100.00</b>	<b>0</b>	<b>0.00</b>

## UNIPHOS ENTERPRISES LIMITED

**(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr.No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares held	As a % of grand total (A)+(B)+( C)	Number	As a Percentage	As a % of grand total (A)+(B)+( C) of sub-clause ( I ) (a)	No. of warrants held	As a % total No. of warrants of the same class	No. of Convertible securities held	As a % total No. of convertible securities of the same class	
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )	( X )	( XI )	( XII )
1	NERKA CHEMICALS PVT LTD	46822121	67.33	0	0.00	0.00	0	0	0	0	67.33
2	SHILPA R SHROFF	178511	0.26								0.26
3	SHILPA SHROFF	150612	0.22								0.22
4	JYOTSNA J BHATT	45500	0.07								0.07
5	JYOTINDRA MANSHANKAR BHATT	40600	0.06								0.06
6	JYOTSNA JYOTINDRA BHATT	2100	0.00								0.00
7	VARUN JAIDEV SHROFF	1300	0.00								0.00
8	SHAILA SHASHIKUMAR SHROFF	850	0.00								0.00
9	TANIA JAIDEV SHROFF	500	0.00								0.00
10	SHAILA SHASHIKUMAR SHROFF	300	0.00								0.00
	<b>Total</b>	<b>47242394</b>	<b>67.93</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>67.93</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

**(I) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C) indicated in statement at para ( I ) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and conv. Securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	VINOD MOHAN NAIR	5748108	8.27					8.27
2	ARES DIVERSIFIED	3145000	4.52					4.52
3	EOS MULTI STRATEGY FUND LTD	961653	1.38					1.38
4	LIFE INSURANCE CORPORATION OF INDIA	898756	1.29					1.29
5	ACACIA PARTNERS, LP	733254	1.05					1.05
	<b>TOTAL</b>		<b>16.52</b>					<b>16.52</b>

**(l) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares**

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert ( PAC ) with them	Number of shares	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C ) indicated in statement at para ( I ) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	VINOD MOHAN NAIR	5748108	8.27					8.27
	<b>TOTAL</b>	<b>5748108</b>	<b>8.27</b>					

**(l) (d) Statement showing details of locked-in shares**

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C ) indicated in Statement at para (I)(a) above}
	<b>N I L</b>			

## UNIPHOS ENTERPRISES LIMITED

### (II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 500429 NAME OF SCRIPT : UNIPHOS				Quarter ended : 31.12.2014
NSE SYMBOL : UNIENTER				
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a <b>percentage</b> of total number of shares {i.e.Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
1	GDR	17481	34962	0.05

### (II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 500429 NAME OF SCRIPT : UNIPHOS				Quarter ended : 31.12.2014
NSE SYMBOL : UNIENTER				
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a <b>percentage</b> of total number of shares {i.e.Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
		<b>N I L</b>		

