

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements)

1.	Name of Listed Entity: Uniphos Enterprises Limited
2.	Scrp Code/Name of Scrip/Class of Security: BSE - 500429, NSE SYMBOL - UNIENTER / Equity
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 30th September 2017
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of

Particulars	Yes *	No *
1. Whether the Listed Entity has issued any partly paid up shares?		No
2. Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3. Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4. Whether the Listed Entity has any shares in locked-in?		No
5. Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Uniphos Enterprises Limited

Table I - Summary Statement holding of specified securities

Category	(i) Category of shareholder	(ii) No. of shares held	(iii) No. of fully paid up equity shares held	(iv) No. of Partly paid-up equity shares held	(v) No. of shares underlying Depository Receipts held	(vi) Total nos. shares held	(vii) = (vi) =	(viii) As a % of total no. of shares (calculate as per SCRR, 1957)	(ix) Number of Voting Rights held in each class of securities			(x) Total as a % of (A+B+C)	(xi) Outstanding securities (including convertible securities)	(xii) % of convertible securities in of full conversion	(xiii) Number of shares held	(xiv) As a % of total Shares held	(xv) Number of Shares pledged or otherwise encumbered	(xvi) As a % of total Shares held	(xvii) Number of equity shares held in dematerialized form
									Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	7	50207195	0	0	50207195	72.1929	50207195	0	50207195	72.1929	0	0	0	0	0	0	0	50207195
(B)	Public	12489	19308692	0	32962	19338654	27.8071	19338654	0	19338654	27.8071	0	0	0	0	0	0	0	1868896
(C)	Non Promoter - Non Public																		
(C1)	Shares Underlying DRs		0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0	0	0
(C2)	Shares Held By Employee Trust		0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0	0	0
	Total	12496	69512887	0	32962	69545849	100.0000	69545849	0	69545849	100.0000	0	0	0	0	0	0	0	68894091



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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of sharehold ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of underlying Depositor y Receipts held	Total nos. shares held	(VII) =	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	(VIII) As a %	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	Shares Underlying Outstanding convertible securities (including securities)	ing, as a % assuming full conversion of convertible securities (including securities)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
										Class eg: X	Class eg: Y	Total				As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)	(xviii)	(xix)	(xx)	(xxi)	
1	Indian																				
(a)	Individuals / Hindu Undivided Family		378523	0	0	378523	0.5443	378523	0	0.5443	0	0	0	0	0	0	0	0	0	0	378523
	Shilpa P Sagar		329123	0	0	329123	0.4732	329123	0	0.4732	0	0	0	0	0	0	0	0	0	0	329123
	Jyotsna J Bhart		47600	0	0	47600	0.0684	47600	0	0.0684	0	0	0	0	0	0	0	0	0	0	47600
	Varun Jaidev Shroff		1300	0	0	1300	0.0019	1300	0	0.0019	0	0	0	0	0	0	0	0	0	0	1300
	Tania Jaidev Shroff		500	0	0	500	0.0007	500	0	0.0007	0	0	0	0	0	0	0	0	0	0	500
(b)	Central Government / State Government(s)		0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		49828672	0	0	49828672	71.6487	49828672	0	71.6487	0	0	0	0	0	0	0	0	0	0	49828672
	Bodies Corporate		2	0	0	2	0.0000	2	0	0.0000	0	0	0	0	0	0	0	0	0	0	2
	Nerka Chemicals Pvt Ltd		1	0	0	1	0.0130	1	0	0.0130	0	0	0	0	0	0	0	0	0	0	1
	R Shroff Consultants Pvt Ltd		1	0	0	1	0.0130	1	0	0.0130	0	0	0	0	0	0	0	0	0	0	1
	Sub Total (A)(1)		7	0	0	7	72.1929	50207195	0	72.1929	0	0	0	0	0	0	0	0	0	0	50207195
	Foreign		0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
(b)	Government Institutions		0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
(c)	Foreign Portfolio Investor		0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
(e)	Sub Total (A)(2)		0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)=		7	0	0	7	72.1929	50207195	0	72.1929	0	0	0	0	0	0	0	0	0	0	50207195
	(A)(1)+(A)(2)		7	0	0	7	72.1929	50207195	0	72.1929	0	0	0	0	0	0	0	0	0	0	50207195

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of under-lying Depository shares held	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	Shares Underlying Outstanding convertible securities (including securities)	Ing. as a % assuming conversion of convertible securities	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								Class eg: X	Class eg: Y	Total				As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)	(X)	(XI) =	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	(XVIII)	(XIX)	
1 Institutions																			
(a) Mutual Fund		3	2260	0	0	2260	0.0032	2260	0	2260	0	0.0032	0	0	0	0	0	0	2260
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0	0	0	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0	0	0	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0	0	0	0
(e) Foreign Portfolio Investor		15	8727065	0	0	8727065	12.5486	8727065	0	8727065	0	12.5486	0	0	0	0	0	0	8725215
Ares Diversified	AAHCQA2144B		3171572	0	0	3171572	4.5604	3171572	0	3171572	0	4.5604	0	0	0	0	0	0	3171572
Resonance Opportunities Fund	AAFCR4798A		1350000	0	0	1350000	1.9412	1350000	0	1350000	0	1.9412	0	0	0	0	0	0	1350000
Arial Holdings 1	AAKCA6796Q		939602	0	0	939602	1.3511	939602	0	939602	0	1.3511	0	0	0	0	0	0	939602
Eos Multi Strategy Fund Ltd	AADCEG0686N		933938	0	0	933938	1.3429	933938	0	933938	0	1.3429	0	0	0	0	0	0	933938
Acacia Partners, LP	AAAFV272K		750000	0	0	750000	1.0784	750000	0	750000	0	1.0784	0	0	0	0	0	0	750000
(f) Financial Institutions / Banks		7	10506	0	0	10506	0.0151	10506	0	10506	0	0.0151	0	0	0	0	0	0	10506
Life Insurance Companies		2	956456	0	0	956456	1.3753	956456	0	956456	0	1.3753	0	0	0	0	0	0	956456
(h) Provident Funds/ Pension Funds	AAACL0582H		898756	0	0	898756	1.2923	898756	0	898756	0	1.2923	0	0	0	0	0	0	898756
(i) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0	0	0	0
Foreign Bank		1	1800	0	0	1800	0.0026	1800	0	1800	0	0.0026	0	0	0	0	0	0	1800
Sub Total (B)(1)		28	9698087	0	0	9698087	13.9449	9698087	0	9698087	0	13.9449	0	0	0	0	0	0	9696237
2 Central Government/ State Government(s)/ President of India																			
Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0	0	0	0
3 Non-Institutions																			
(a) Individuals		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0	0	0	0
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		11306	6055659	0	0	6055659	8.7074	6055659	0	6055659	0	8.7074	0	0	0	0	0	0	578549
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		3	1481629	0	0	1481629	2.1304	1481629	0	1481629	0	2.1304	0	0	0	0	0	0	1481629
Arun C. Ashar	AAQPA2836A		985000	0	0	985000	1.4163	985000	0	985000	0	1.4163	0	0	0	0	0	0	985000
(b) NBFIs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0	0	0	0
(c) Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0	0	0	0
(d) Overseas Depositor(s) holding DR(s) (balancing figure)		3	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0	0	0	0
(e) Any Other (Specify)		1149	2070317	0	0	2070317	2.9769	2070317	0	2070317	0	2.9769	0	0	0	0	0	0	32162
Trusts		1	17620	0	0	17620	0.0253	17620	0	17620	0	0.0253	0	0	0	0	0	0	1898319
Foreign Nationals		2	1462	0	0	1462	0.0021	1462	0	1462	0	0.0021	0	0	0	0	0	0	17620
Hindu Undivided Family		282	419098	0	0	419098	0.6026	419098	0	419098	0	0.6026	0	0	0	0	0	0	1462
Non Resident Indians (Non Repat)		89	41818	0	0	41818	0.0601	41818	0	41818	0	0.0601	0	0	0	0	0	0	419098
Non Resident Indians (Repatriation)		517	375992	0	0	375992	0.5406	375992	0	375992	0	0.5406	0	0	0	0	0	0	39342
Clearing Member		75	43106	0	0	43106	0.0620	43106	0	43106	0	0.0620	0	0	0	0	0	0	206470
Bodles Corporate		183	1171221	0	0	1171221	1.6841	1171221	0	1171221	0	1.6841	0	0	0	0	0	0	419098
Sub Total (B)(3)		12461	9607605	0	0	9607605	13.8622	9607605	0	9607605	0	13.8622	0	0	0	0	0	0	43106
Total Public Shareholding (B) =		12489	19905692	0	0	19905692	27.8071	19905692	0	19905692	0	27.8071	0	0	0	0	0	0	1171221
(B)(1)+(B)(2)+(B)(3)																			8990659

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares
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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.



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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

1	Category & Name of the shareholders	PAN	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

