

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations,**

1. Name of Listed Entity: Uniphos Enterprises Limited
2. Scrip Code/Name of Scrip/Class of Security: BSE- 500429, NSE SYMBOL - UNIENTER / Equity
3. Share Holding Pattern Filled under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending 31st March 2019
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :07/04/2019 NSDL : 30/03/2019 CDSL :30/03/2019



**Uniphos Enterprises Limited**  
**Table I - Summary Statement holding of specified securities**

Category	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = Total nos. shares held	(VIII) As a % of total shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities		Shares Underlying Outstanding convertible securities (including securities held(b))	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights Class eg: X	Total Class eg: Y			(a)	(b)	
(A)	Promoter & Promoter Group	6	50207195	0	0	0	50207195	72.1929	50207195	0	0	0	0	0	50207195
(B)	Public	11725	19311692	0	0	26962	19338654	27.8071	19338654	0	0	0	0	0	18773523
(C)	Non Promoter - Non Public	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0
	Total	11731	69518887	0	0	26962	69545849	100.0000	69545849	0	0	0	0	0	68980718

GENERATED ON :07/04/2019 NSDL : 30/03/2019 CDSL :30/03/2019



**Uniphos Enterprises Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

1	Category & Name of the shareholders (i)	PAN	(ii)	No. of sharehold ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlyin g	No. of shares underlyin g Depositor Receipts held	Total nos. of Shares held (VII) =	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a %	Number of Voting Rights held in each class of securities		Shares Underlyin g Outstandi ng convertible securities (including convertible securities) (X)	ing, as a % assum ing full conver sion of converti ble securiti es (XI) =	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in demateri alised form (XIV)
											No of Voting Rights Class eg: X	Total % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
(a)	Indian Individuals / Hindu Undivided Family			4	378523	0	0	0	378523	0.5443	0	0	0	0.5443	0	0	0	0	378523
	Shilpa P Sagar	AALPS9282P		1	329123	0	0	0	329123	0.4732	0	0	0	0.4732	0	0	0	0	329123
	Jyotsna J Bhatt	ABWFP6570H		1	47600	0	0	0	47600	0.0684	0	0	0	0.0684	0	0	0	0	47600
	Varun Jaidev Shroff	BJAP55937A		1	1300	0	0	0	1300	0.0019	0	0	0	0.0019	0	0	0	0	1300
	Tania Jaidev Shroff	BJAP5795L		1	500	0	0	0	500	0.0007	0	0	0	0.0007	0	0	0	0	500
(b)	Central Government / State Government(s)			0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(c)	Financial Institutions / Banks			0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(d)	Any Other (Specify)			2	49828672	0	0	0	49828672	71.6487	0	0	0	71.6487	0	0	0	0	49828672
	Bodies Corporate			2	49828672	0	0	0	49828672	71.6487	0	0	0	71.6487	0	0	0	0	49828672
	Nerika Chemicals Pvt Ltd	AAACN9337Q		1	49819612	0	0	0	49819612	71.6356	0	0	0	71.6356	0	0	0	0	49819612
	R Shroff Consultants Pvt Ltd	AAACR9751P		1	9060	0	0	0	9060	0.0130	0	0	0	0.0130	0	0	0	0	9060
	Sub Total (A)(1)			6	50207195	0	0	0	50207195	72.1929	0	0	0	72.1929	0	0	0	0	50207195
	Foreign																		
2	Individuals (Non-Resident Individuals / Foreign Individuals)			0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(a)	Government			0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(b)	Institutions			0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(c)	Foreign Portfolio Investor			0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(d)	Any Other (Specify)			0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(e)	Sub Total (A)(2)			0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)			6	50207195	0	0	0	50207195	72.1929	0	0	0	72.1929	0	0	0	0	50207195

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :07/04/2019 NSDL : 30/03/2019 CDSL :30/03/2019



**Uniphos Enterprises Limited**  
**Table III - Statement showing shareholding pattern of the Public shareholder**

1	Category & Name of the shareholders (i)	PAN	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv) + (v) + (vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii) As a %	Number of Voting Rights held in each class of securities (ix)		Shares Underlying Outstanding convertible securities (including securities convertible in full) (x)	ing, as a % assuming conversion of convertible securities (xi) =	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialised form (xiv)
									No of Voting Rights Class eg: X, Class eg: y Total (A+B+C)	Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
(a)	Mutual Fund		3	2260	0	0	2260	0.0032	2260	0	0.0032	0	0	0	0	NA	2260
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	NA	0
(e)	Foreign Portfolio Investor		18	9434649	0	0	9434649	13.5661	9434649	0	13.5661	0	0	0	0	NA	9432799
	Resonance Opportunities Fund	AAHCA2144B	1	3171572	0	0	3171572	4.5604	3171572	0	4.5604	0	0	0	0	NA	3171572
	Arial Holdings 1	AAFCR4798A	1	1370000	0	0	1370000	1.9699	1370000	0	1.9699	0	0	0	0	NA	1370000
	Eos Multi Strategy Fund Ltd	AAKCA6796Q	1	939602	0	0	939602	1.3511	939602	0	1.3511	0	0	0	0	NA	939602
	Acacia Partners, Lp	AAACE0686N	1	939398	0	0	939398	1.3429	939398	0	1.3429	0	0	0	0	NA	939398
	Acacia Institutional Partners, Lp	AAALFA272K	1	750000	0	0	750000	1.0784	750000	0	1.0784	0	0	0	0	NA	750000
(f)	Financial Institutions / Banks	AAALFA272K	1	720000	0	0	720000	1.0353	720000	0	1.0353	0	0	0	0	NA	720000
(g)	Insurance Companies		6	2220	0	0	2220	0.0032	2220	0	0.0032	0	0	0	0	NA	2220
(h)	Life Insurance Corporation Of India	AAACLO582H	2	956456	0	0	956456	1.3753	956456	0	1.3753	0	0	0	0	NA	956456
(i)	Provident Funds/ Pension Funds		1	898756	0	0	898756	1.2923	898756	0	1.2923	0	0	0	0	NA	898756
(j)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	NA	0
	Foreign Bank		1	1800	0	0	1800	0.0026	1800	0	0.0026	0	0	0	0	NA	1800
	Sub Total (B)(1)		1	1800	0	0	1800	0.0026	1800	0	0.0026	0	0	0	0	NA	1800
	Sub Total (B)(2)		30	10397385	0	0	10397385	14.9504	10397385	0	14.9504	0	0	0	0	NA	10395535
2	Central Government/ State Government(s)/ President of India																
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	NA	0
3	Non-Institutions																
(a)	Individuals																
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.																
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		10635	5860496	0	0	5860496	8.4268	5860496	0	8.4268	0	0	0	0	NA	5456595
(b)	Arun C. Ashar	AAQPA2836A	3	1390530	0	0	1390530	1.9994	1390530	0	1.9994	0	0	0	0	NA	1390530
	NBFCs registered with RBI		1	80	0	0	80	0.0001	80	0	0.0001	0	0	0	0	NA	80
	Trust Employee		0	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		3	0	0	26962	26962	0.0388	26962	0	0.0388	0	0	0	0	NA	26162
(e)	Any Other (Specify)		1053	1663201	0	0	1663201	2.3915	1663201	0	2.3915	0	0	0	0	NA	1504621
	Trusts		1	17920	0	0	17920	0.0258	17920	0	0.0258	0	0	0	0	NA	17920
	Foreign Nationals		1	62	0	0	62	0.0001	62	0	0.0001	0	0	0	0	NA	62
	Hindu Undivided Family		284	388390	0	0	388390	0.5585	388390	0	0.5585	0	0	0	0	NA	388390
	Non Resident Indians (Non Repat)		100	53254	0	0	53254	0.0766	53254	0	0.0766	0	0	0	0	NA	50978
	Non Resident Indians (Repat)		479	344483	0	0	344483	0.4953	344483	0	0.4953	0	0	0	0	NA	188179
	Clearing Member		51	57325	0	0	57325	0.0824	57325	0	0.0824	0	0	0	0	NA	57325
	Bodies Corporate		137	801767	0	0	801767	1.1529	801767	0	1.1529	0	0	0	0	NA	801767
	Sub Total (B)(3)		11695	8944307	0	26962	8941269	12.8567	8941269	0	12.8567	0	0	0	0	NA	8377988
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		11725	19311692	0	26962	19338654	27.8071	19338654	0	27.8071	0	0	0	0	NA	18773523

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares
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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note :**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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**Uniphos Enterprises Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii) As a % of (A+B+C)	Number of Voting Rights held in each class of securities			Underlying Outstanding convertible securities (including securities held by (X)) (xi)	Number of Locked in shares (xii)	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (xiv)		
							X	Y	Total (X+Y) (ix)			No. (a)	As a % of total Shares held (b) (xii)		No. (a)	As a % of total Shares held (b) (xii)
1 Custodian/DR Holder Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)			0	0	0	0	0	0	0	0	0	0	0	0		
2 Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C)2			0	0	0	0	0	0	0	0	0	0	0	0		

**Note :**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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