

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Uniphos Enterprises Limited
2.	Scrip Code/Name of Scrip/Class of Security: BSE- 500429, NSE SYMBOL - UNIENTER / Equity
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :06/01/2017

NSDL : 31/12/2016

CDSL :31/12/2016



Uniphos Enterprises Limited

Table I - Summary Statement holding of specified securities

Category	(i) Category of shareholder	(ii) Nos. of shareholder shares held	(iii) No. of fully paid up equity shares held	(iv) No. of Partly paid-up equity shares held	(v) No. of shares underlying Depository Receipts held	(vi) Total nos. shares held	(vii) = (iii) + (iv) + (v) + (vi)	(viii) As a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	Shares Underlying Outstanding convertible securities (including securities convertible into full convertible securities) (X)	ing, as a % assuming conversion of convertible securities (XI) = (X) / (vii) * 100	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)
									No of Voting Rights Class egr: X	Class egr: Y	Total				As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	
(A)	Promoter & Promoter Group	7	50207195	0	0	50207195	72.1929	50207195	0	50207195	72.1929	0	0	0	0	0	0	0	50207195
(B)	Public	13081	19305692	0	32962	19338654	27.8071	19338654	0	19338654	27.8071	0	0	0	0	0	0	0	18672928
(C)	Non Promoter - Non Public																		
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	13088	69512887	0	32962	69545849	100.0000	69545849	0	69545849	100.0000	0	100	0	0	0	0	0	68880123



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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shares	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of Depository Receipts held	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Total as a % of (A+B+C)	Shares Underlying convertible securities (including)	Ing, as a % assuming full conversion of convertible securities (XII)=	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								Class eg: X	Class eg: Y				As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a %	(ix)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)			
1																	
Indian Individuals / Hindu Undivided Family			378523	0	0	378523	0.5443	378523	0	0.5443	0	0	0	0	0	0	378523
Shilpa P Sagar	AALUP9282P	1	3,291.23	0	0	3,291.23	0.4732	3,291.23	0	0.4732	0	0	0	0	0	0	3,291.23
Jyotsna J Bhatt	ABWPR657OH	2	47,600	0	0	47,600	0.0684	47,600	0	0.0684	0	0	0	0	0	0	47,600
Varun Jaidev Shroff	BJAP55937A	1	1,300	0	0	1,300	0.0019	1,300	0	0.0019	0	0	0	0	0	0	1,300
Tania Jaidev Shroff	BJAP55795L	1	500	0	0	500	0.0007	500	0	0.0007	0	0	0	0	0	0	500
Central Government / State Government(s)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Financial Institutions / Banks			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Any Other (Specify)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Bodies Corporate			2,498,286.72	0	0	2,498,286.72	71.6487	2,498,286.72	0	71.6487	0	0	0	0	0	0	2,498,286.72
Nerka Chemicals Pvt Ltd	AAACN9337Q	1	4,981,961.12	0	0	4,981,961.12	71.6356	4,981,961.12	0	71.6356	0	0	0	0	0	0	4,981,961.12
R Shroff Consultants Pvt.Ltd	AABCR9751P	1	9,060	0	0	9,060	0.0130	9,060	0	0.0130	0	0	0	0	0	0	9,060
Sub Total (A)(1)		7	5,020,719.95	0	0	5,020,719.95	72.1929	5,020,719.95	0	72.1929	0	0	0	0	0	0	5,020,719.95
2																	
Foreign																	
(a) Individuals (Non-Resident Individuals / Foreign Individuals)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Government			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Institutions			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Portfolio Investor			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any Other (Specify)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (A)(2)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)			7,520,719.95	0	0	7,520,719.95	72.1929	7,520,719.95	0	72.1929	0	0	0	0	0	0	7,520,719.95

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts held	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Total as a % of (A+B+C)	Shares Underlying Outstanding convertible securities (including securities)	Ing. as a % assuming conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of Shares held in dematerialised form
								Class eg: X	Class eg: Y Total				As a % of total Shares held (b)	As a % of total Shares held (b)		
1	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a %	(ix)	(x)	(xi) =	(xii)	(xiii)	(xiv)		
Institutions																
(a) Mutual Fund			3	2260	0	0	2260	0.0032	2260	0	0.0032	0	0	0	2260	
(b) Venture Capital Funds			0	0	0	0	0	0	0	0	0	0	0	0	0	
(c) Alternate Investment Funds			0	0	0	0	0	0	0	0	0	0	0	0	0	
(d) Foreign Venture Capital Investors			0	0	0	0	0	0	0	0	0	0	0	0	0	
(e) Foreign Portfolio Investor			14	6567966	0	0	6567966	9.4441	6567966	0	9.4441	0	0	0	6566116	
Ares Diversified	AAHCA2144B		3171572	3171572	0	3171572	4.5604	3171572	0	4.5626	0	1.3517	0	0	3171572	
Arca Holdings 1	AAKCA6796Q		939602	939602	0	939602	1.3511	939602	0	1.3435	0	0	0	0	939602	
Eos Multi Strategy Fund Ltd	AAADCE0686N		933938	933938	0	933938	1.3429	933938	0	1.3429	0	0	0	0	933938	
Acacia Partners, Lp	AAALFA7272K		733254	733254	0	733254	1.0543	733254	0	1.0548	0	0	0	0	733254	
(f) Financial Institutions / Banks			6	1200	0	1200	0.0017	1200	0	0.0017	0	0	0	0	1200	
(g) Insurance Companies			2	956456	0	956456	1.3753	956456	0	1.3759	0	0	0	0	956456	
(h) Life Insurance Corporation Of India	AAACU0582H		898756	898756	0	898756	1.2923	898756	0	1.2929	0	0	0	0	898756	
(i) Provident Funds/ Pension Funds			0	0	0	0	0	0	0	0	0	0	0	0	0	
(j) Any Other (Specify)			1	1800	0	1800	0.0026	1800	0	0.0026	0	0	0	0	1800	
Foreign Bank			1	1800	0	1800	0.0026	1800	0	0.0026	0	0	0	0	1800	
Sub Total (B)(1)			26	7529682	0	7529682	10.8269	7529682	0	10.8321	0	0	0	0	7527832	
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0.0000	0	0	0.0000	0	0	0	0	0	
Sub Total (B)(2)			0	0	0	0	0	0	0	0	0	0	0	0	0	
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	
(a)	Individuals		0	0	0	0	0	0	0	0	0	0	0	0	0	
	1. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		11869	6508138	0	6508138	9.3581	6508138	0	9.3625	0	0	0	0	6021484	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		3	1485126	0	1485126	2.1355	1485126	0	2.1365	0	0	0	0	1485126	
	Acun C. Ashar	AAQPA2836A	985000	985000	0	985000	1.4163	985000	0	1.417	0	0	0	0	985000	
(b)	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	
	Overseas Depositors(holding Drs) (balancing figure)		3	0	0	32962	0.0474	32962	0	0.0474	0	0	0	0	32162	
(d)	Any Other (Specify)		1180	3782746	0	3782746	5.4392	3782746	0	5.4418	0	0	0	0	3606324	
(e)	Trusts		1	17620	0	17620	0.0253	17620	0	0.0253	0	0	0	0	17620	
	Foreign Nationals		2	1462	0	1462	0.0021	1462	0	0.0021	0	0	0	0	1462	
	Hindu Undivided Family		276	509668	0	509668	0.7329	509668	0	0.7332	0	0	0	0	509668	
	Non Resident Indians (Non Repat)		93	46014	0	46014	0.0662	46014	0	0.0662	0	0	0	0	43498	
	Non Resident Indians (Repatriation)		547	2244633	0	2244633	3.2276	2244633	0	3.2291	0	0	0	0	2070727	
	Vinod Mohan Nair	AUPNJ221R	1763937	1763937	0	1763937	2.5364	1763937	0	2.5376	0	0	0	0	1763937	
	Clearing Member		69	87071	0	87071	0.1252	87071	0	0.1253	0	0	0	0	87071	
	Bodies Corporate		192	876278	0	876278	1.2600	876278	0	1.2606	0	0	0	0	876278	
	Sub Total (B)(3)		13055	11776010	0	32962	16.9801	11808972	0	16.9882	0	0	0	0	1145096	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		13081	19305692	0	32962	27.8071	19338654	0	27.8071	0	0	0	0	18672928	



Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares
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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s);
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders (I)	PAN Nos. of sharehold ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlyin g Depositor y Receipts held	Total nos. shares held (VII) =	Sharehold ing % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class			Shares Underlyin g Outstandi ng convertibl e securities (including convertible securities)	ing, as a % assuming full conversio n of convertibl e securities	Number of Locked in		Number of Shares pledged or otherwise encumbered		Number of equity shares held in demateria lised form (XIV)	
								No of Voting Rights Class eg: Class eg: y Total	% of (A+B+C)	No. (a) Shares held(b)			As a % of total Shares held(b)	No. (a) Shares held(b)	As a % of total Shares held(b)			
(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII) As a	(IX)	(X)	(XI) =	(XII)	(XIII)	(XIV)						
1	Custodian/DR Holder Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

