

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Uniphos Enterprises Limited
2.	Scrip Code/Name of Scrip/Class of Security: BSE- 500429, NSE SYMBOL - UNIENTER / Equity
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 31st December 2017
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :06/01/2018

NSDL : 30/12/2017

CDSL :30/12/2017



Uniphos Enterprises Limited

Table I - Summary Statement holding of specified securities

Category	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = Total nos. of shares held	(VIII) As a % of total no. of shares (calculate as per SCRR, 1957)	(IX) Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including convertible securities)	X	(XI) = Number of Locked in shares		(XII) = Number of Shares pledged or otherwise encumbered		(XIV) = Number of equity shares held in dematerialised form
									No of Voting Rights Class eg: X	Class eg: Y	Total			As a % of total Shares held (b)	No. (a)	No. (e)	As a % of total Shares held (b)	
(A) Promoter & Promoter Group	7	50207195	0	0	0	50207195	72.1929	0	50207195	72.1929	0	72.1929	0	0	0	0	0	50207195
(B) Public	12512	19505692	0	32962	19538654	27.8071	19538654	27.8071	19538654	27.8071	0	27.8071	0	0	0	0	0	18693191
(C) Non Promoter - Non Public	0	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(C1) Shares Underlying DRs	0	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(C2) Shares Held By Employee Trust	0	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0	0
Total	12519	69512887	0	32962	69545849	100.0000	69545849	100.0000	69545849	100.0000	0	100.0000	0	0	0	0	0	68900386

GENERATED ON :06/01/2018 NSDL : 30/12/2017 CDSL : 30/12/2017



**Uniphos Enterprises Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

1	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = Total nos. shares held	(viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			(ix)	(x)	(xi) = As a % of total Shares held (b)	Number of Shares		(xiv)							
									Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held				No. of shares underlying Depository Receipts	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)		Class eg: X	Class eg: Y	Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)
	Category & Name of the shareholders	PAN																						
	Indian																							
(a)	Individuals / Hindu Undivided Family																							
	Shilpa P Sagar	AAAP59282P	1	329123	0	0	329123	0.4732	0	329123	0	0.4732	0	0	0	0	378523							
	Jyotsna J Bhatt	ABWFB6570H	2	47600	0	0	47600	0.0684	0	47600	0	0.0684	0	0	0	0	329123							
	Varun Jaidev Shroff	BIAPSS937A	1	1300	0	0	1300	0.0019	1300	0	0	0.0019	0	0	0	0	47600							
	Tania Jaidev Shroff	BIAPSS795L	1	500	0	0	500	0.0007	500	0	0	0.0007	0	0	0	0	1300							
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	500							
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0							
(d)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0							
	Bodies Corporate		2	49828672	0	0	49828672	71.6487	49828672	0	0	71.6487	0	0	0	0	0							
	Nerka Chemicals Pvt Ltd	AAACN9337Q	2	49828672	0	0	49828672	71.6487	49828672	0	0	71.6487	0	0	0	0	49828672							
	R Shroff Consultants Pvt. Ltd	AAACN9337Q	1	49819612	0	0	49819612	71.6556	49819612	0	0	71.6556	0	0	0	0	49819612							
	Sub Total (A)(1)		7	50207195	0	0	50207195	72.1929	50207195	0	0	72.1929	0	0	0	0	9060							
2	Foreign																							
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0							
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0							
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0							
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0							
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0							
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0							
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		7	50207195	0	0	50207195	72.1929	50207195	0	0	72.1929	0	0	0	0	50207195							

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.





**Uniphos Enterprises Limited**  
Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	(i)	(ii)	(iii)	(iv)	(v)	No. of shares underlying Depositor Receipts	(vii) = Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	Underlying Outstanding securities (including convertible securities)	(xi) = % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (xiv)
										No. of Voting Rights	Class eg: X	Class eg: Y				Total	(xii) = As a % of total Shares held(b)	(xiii) = As a % of total Shares held(b)	(a)	
1																				
(a) Institutions																				
Mutual Fund		3		2260	0	0	0	2260	0.0032	0	0	0	0.0032	0	0.0032	0	0	0	0	2260
Venture Capital Funds		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
Alternate Investment Funds		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
Foreign Venture Capital Investors		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(e) Foreign Portfolio Investor		15	8727065	0	0	0	0	8727065	12.5486	8727065	0	8727065	12.5486	0	12.5486	0	0	0	0	8725215
Ares Diversified	AAHCA2144B	3171572	0	0	0	0	0	3171572	4.5604	3171572	0	3171572	4.5604	0	4.5604	0	0	0	0	3171572
Resonance Opportunities Fund	AAFCR4798A	1350000	0	0	0	0	0	1350000	1.9412	1350000	0	1350000	1.9412	0	1.9412	0	0	0	0	1350000
Arial Holdings 1	AAKCA6796Q	939602	0	0	0	0	0	939602	1.3511	939602	0	939602	1.3511	0	1.3511	0	0	0	0	939602
Eos Multi Strategy Fund Ltd	AAADCE0686N	933938	0	0	0	0	0	933938	1.3429	933938	0	933938	1.3429	0	1.3429	0	0	0	0	933938
Acacia Partners, LP	AAALFA7272K	750000	0	0	0	0	0	750000	1.0784	750000	0	750000	1.0784	0	1.0784	0	0	0	0	750000
(f) Financial Institutions / Banks		6	9521	0	0	0	0	9521	0.0137	9521	0	9521	0.0137	0	0.0137	0	0	0	0	9521
Insurance Companies		2	956456	0	0	0	0	956456	1.3753	956456	0	956456	1.3753	0	1.3753	0	0	0	0	956456
Life Insurance Corporation Of India	AAAALO582H	898756	0	0	0	0	0	898756	1.2923	898756	0	898756	1.2923	0	1.2923	0	0	0	0	898756
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
Any Other (Specify)		1	1800	0	0	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	0	0	1800
Foreign Bank		1	1800	0	0	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	0	0	1800
Sub Total (B)(1)		27	9697102	0	0	0	0	9697102	13.9435	9697102	0	9697102	13.9435	0	13.9435	0	0	0	0	9695252
2																				
Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
Sub Total (B)(2)		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
3																				
Non-Institutions		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(a) Individuals		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		11317	6107031	0	0	0	0	6107031	8.7813	6107031	0	6107031	8.7813	0	8.7813	0	0	0	0	5635176
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		3	1480869	0	0	0	0	1480869	2.1293	1480869	0	1480869	2.1293	0	2.1293	0	0	0	0	1480869
Arun C. Ashar	AAQPA2836A	985000	0	0	0	0	0	985000	1.4163	985000	0	985000	1.4163	0	1.4163	0	0	0	0	985000
(b) NBFCs registered with RBI		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(c) Employee Trusts		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
Overseas Depositories(holding DRs) (balancing figure)		3	0	0	0	0	0	32962	0.0474	32962	0	32962	0.0474	0	0.0474	0	0	0	0	32162
(e) Any Other (Specify)		1162	2020690	0	0	0	0	2020690	2.9056	2020690	0	2020690	2.9056	0	2.9056	0	0	0	0	1849732
Trusts		1	17920	0	0	0	0	17920	0.0258	17920	0	17920	0.0258	0	0.0258	0	0	0	0	17920
Foreign Nationals		2	1462	0	0	0	0	1462	0.0021	1462	0	1462	0.0021	0	0.0021	0	0	0	0	1462
Hindu Undivided Family		297	460206	0	0	0	0	460206	0.6617	460206	0	460206	0.6617	0	0.6617	0	0	0	0	460206
Non Resident Indians (Non Repat)		90	42478	0	0	0	0	42478	0.0611	42478	0	42478	0.0611	0	0.0611	0	0	0	0	40002
Non Resident Indians (Repat)		516	375607	0	0	0	0	375607	0.5401	375607	0	375607	0.5401	0	0.5401	0	0	0	0	207125
Clearing Member		84	51529	0	0	0	0	51529	0.0741	51529	0	51529	0.0741	0	0.0741	0	0	0	0	51529
Bodies Corporate		172	1071488	0	0	0	0	1071488	1.5407	1071488	0	1071488	1.5407	0	1.5407	0	0	0	0	1071488
Sub Total (B)(3)		12485	9608590	0	0	0	0	9641552	13.8636	9641552	0	9641552	13.8636	0	13.8636	0	0	0	0	8997939
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		12512	19305692	0	0	0	0	19338654	27.8071	19338654	0	19338654	27.8071	0	27.8071	0	0	0	0	18693191

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares
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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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**Uniphos Enterprises Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including securities held in securities)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
							Total	Class eg: X	Class eg: Y		Total (A+B+C)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		No. (a)	As a % of total Shares held(b)
1. Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		
2. Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		

**Note :**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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