

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

| | |
|----|---|
| 1. | Name of Listed Entity: Uniphos Enterprises Limited |
| 2. | Scrip Code/Name of Scrip/Class of Security: BSE- 500429, NSE SYMBOL - UNIENTER / Equity |
| 3. | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) a. If under 31(1)(b) then indicate the report for Quarter ending <u>31st December, 2019</u> b. If under 31(1)(c) then indicate date of allotment/extinguishment |
| 4. | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- |

| Particulars | Yes* | No* |
|--|------|-----|
| 1 Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2 Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3 Whether the Listed Entity has any shares against which depository receipts are issued? | Yes | No |
| 4 Whether the Listed Entity has any shares in locked-in? | | No |
| 5 Whether any shares held by promoters are pledge or otherwise encumbered? | | No |

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

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Table I - Summary Statement holding of specified securities

| Category | (i) | (ii) | Nos. of shareholders | (iv) | (v) | No. of shares underlying Depository Receipts | Shareholding as a % of total shares (calculate as per SCRR, 1957) | Number of Voting Rights held in each class of securities | | | Shares Underlying Outstanding convertible securities (including securities convertible into full conversion) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialised form (XIV) |
|----------|-------------------------------|------|----------------------|-----------------|----------|--|---|--|-------------|-------------------|--|----------------------------|----------|--|----------|---|
| | | | | | | | | No of Voting Rights | Class eg: X | Total | | (X) | (XI)= | (a) | (b) | |
| | | | (iii) | (iv) | (v) | (vi) | (viii) = (vii) / (vi) * 100 | (ix) | (x) | (xi) = (ix) + (x) | (xii) | (xiii) | (xiv) | (xv) | (xvi) | (xvii) |
| (A) | Promoter & Promoter Group | | 6 | 50207195 | 0 | 0 | 72.1929 | 50207195 | 0 | 50207195 | 72.1929 | 0 | 0 | 0 | 0 | 50207195 |
| (B) | Public | | 11512 | 19311692 | 0 | 26962 | 27.8071 | 19338654 | 0 | 19338654 | 27.8071 | 0 | 0 | 0 | 0 | 18805725 |
| (C) | Non Promoter - Non Public | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (C1) | Shares Underlying DRs | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (C2) | Shares Held By Employee Trust | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total | | 11518 | 69518887 | 0 | 26962 | 100 | 69545849 | 0 | 69545849 | 100.0000 | 0 | 0 | 0 | 0 | 69012920 |

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| 1 | (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | Number of Voting Rights held in each class of securities | | | (X) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialised form (XIV) | | | | |
|-----|--|------|----------|------|-----|------|----------|---------|--|-----------------------------------|--|-----|----------------------------|--|--|-------------|---|--------------------------------|---------|--------------------------------|---------|
| | | | | | | | | | No. of fully paid up equity shares held | Partly paid-up equity shares held | No. of shares underlying Depository Receipts | | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | No of Voting Rights | | | As a % of total Shares held(b) | No. (a) | As a % of total Shares held(b) | No. (b) |
| | | | | | | | | | | | | | | | Class eg: X | Class eg: Y | | | | | |
| | | | | | | | | | | | | | | | | | | | | | |
| (a) | Indian | | | | | | | | | | | | | | | | | | | | |
| | Individuals / Hindu Undivided Family | 4 | 378523 | 0 | 0 | 0 | 378523 | 0.5443 | 378523 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 378523 | | | |
| | Shilpa P Sagar | 1 | 329123 | 0 | 0 | 0 | 329123 | 0.4732 | 329123 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 329123 | | | |
| | Jyotsna J Bhatt | 1 | 47600 | 0 | 0 | 0 | 47600 | 0.0684 | 47600 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 47600 | | | |
| | Varun Jaidev Shroff | 1 | 1300 | 0 | 0 | 0 | 1300 | 0.0019 | 1300 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1300 | | | |
| | Tania Jaidev Shroff | 1 | 500 | 0 | 0 | 0 | 500 | 0.0007 | 500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 500 | | | |
| (b) | Central Government / State Government(s) | 0 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | |
| (c) | Financial Institutions / Banks | 0 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | |
| (d) | Any Other (Specify) | 2 | 49828672 | 0 | 0 | 0 | 49828672 | 71.6487 | 49828672 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 49828672 | | | |
| | Bodies Corporate | 2 | 49828672 | 0 | 0 | 0 | 49828672 | 71.6487 | 49828672 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 49828672 | | | |
| | Nerka Chemicals Pvt Ltd | 1 | 49819612 | 0 | 0 | 0 | 49819612 | 71.6356 | 49819612 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 49819612 | | | |
| | R Shroff Consultants Pvt Ltd | 1 | 9060 | 0 | 0 | 0 | 9060 | 0.0130 | 9060 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9060 | | | |
| | Sub Total (A)(1) | 6 | 50207195 | 0 | 0 | 0 | 50207195 | 72.1929 | 50207195 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 50207195 | | | |
| 2 | Foreign | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals / Foreign Individuals) | 0 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | |
| (b) | Government | 0 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | |
| (c) | Institutions | 0 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | |
| (d) | Foreign Portfolio Investor | 0 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | |
| (e) | Any Other (Specify) | 0 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | |
| | Sub Total (A)(2) | 0 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | |
| | Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2) | 6 | 50207195 | 0 | 0 | 0 | 50207195 | 72.1929 | 50207195 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 50207195 | | | |

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

| Category & Name of the shareholders (I) | Nos. of shareholders | | Partly paid-up shares held (V) | No. of shares underlying Depository Receipts (VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a % | Number of Voting Rights held in each class of securities | | | Shares Underlying Outstanding convertible securities (including securities held(b)) (X) | Number of Locked in shares (XI) | Number of Shares pledged or otherwise encumbered (XII) | | Number of equity shares held in dematerialised form (XIV) |
|---|--|----------|--------------------------------|---|--|--|-------------------|-------------------------|---|---------------------------------|--|---------|---|
| | (iii) | (iv) | | | | Class eg: X | Class eg: Y Total | Total as a % of (A+B+C) | | | As a % of total Shares held(b) | No. (a) | |
| | No. of fully paid up equity shares held (IV) | | No of Voting Rights | | | | | | | | | | |
| 1 | | | | | | | | | | | | | |
| (a) Institutions | | | | | | | | | | | | | |
| Mutual Fund | 3 | 2260 | 0 | 0 | 0.0032 | 2260 | 0 | 0.0032 | 0 | 0 | 0 | 0 | 2260 |
| Venture Capital Funds | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 |
| Alternate Investment Funds | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 |
| Foreign Venture Capital Investors | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 |
| Foreign Portfolio Investor | 17 | 9443924 | 0 | 0 | 13.5794 | 9443924 | 0 | 13.5794 | 0 | 0 | 0 | 0 | 9442074 |
| Ares Diversified | 1 | 3171572 | 0 | 0 | 4.5604 | 3171572 | 0 | 4.5604 | 0 | 0 | 0 | 0 | 3171572 |
| Resonance Opportunities Fund | 1 | 1370000 | 0 | 0 | 1.9699 | 1370000 | 0 | 1.9699 | 0 | 0 | 0 | 0 | 1370000 |
| Arial Holdings 1 | 1 | 939602 | 0 | 0 | 1.3511 | 939602 | 0 | 1.3511 | 0 | 0 | 0 | 0 | 939602 |
| Eos Multi Strategy Fund Ltd | 1 | 933938 | 0 | 0 | 1.3429 | 933938 | 0 | 1.3429 | 0 | 0 | 0 | 0 | 933938 |
| Acacia Partners, Lp | 1 | 750000 | 0 | 0 | 1.0784 | 750000 | 0 | 1.0784 | 0 | 0 | 0 | 0 | 750000 |
| Acacia Institutional Partners, Lp | 1 | 720000 | 0 | 0 | 1.0353 | 720000 | 0 | 1.0353 | 0 | 0 | 0 | 0 | 720000 |
| Financial Institutions / Banks | 7 | 2885 | 0 | 0 | 0.0041 | 2885 | 0 | 0.0041 | 0 | 0 | 0 | 0 | 2885 |
| Insurance Companies | 2 | 953290 | 0 | 0 | 1.3707 | 953290 | 0 | 1.3707 | 0 | 0 | 0 | 0 | 953290 |
| Life Insurance Corporation Of India | 1 | 895590 | 0 | 0 | 1.2878 | 895590 | 0 | 1.2878 | 0 | 0 | 0 | 0 | 895590 |
| Provident Funds/ Pension Funds | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 |
| Any Other (Specify) | 1 | 1800 | 0 | 0 | 0.0026 | 1800 | 0 | 0.0026 | 0 | 0 | 0 | 0 | 1800 |
| Foreign Bank | 1 | 1800 | 0 | 0 | 0.0026 | 1800 | 0 | 0.0026 | 0 | 0 | 0 | 0 | 1800 |
| Sub Total (B)(1) | 30 | 10404159 | 0 | 0 | 14.9601 | 10404159 | 0 | 14.9601 | 0 | 0 | 0 | 0 | 10402309 |
| Central Government/ State Government(s)/ President of India | | | | | | | | | | | | | |
| Sub Total (B)(2) | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 |
| 3 Non-Institutions | | | | | | | | | | | | | |
| (a) Individuals | | | | | | | | | | | | | |
| i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 10463 | 5815140 | 0 | 0 | 8.3616 | 5815140 | 0 | 8.3616 | 0 | 0 | 0 | 0 | 5436577 |
| ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 4 | 1617941 | 0 | 0 | 2.3264 | 1617941 | 0 | 2.3264 | 0 | 0 | 0 | 0 | 1617941 |
| Arun C. Ashar | | 985000 | 0 | 0 | 1.4163 | 985000 | 0 | 1.4163 | 0 | 0 | 0 | 0 | 985000 |
| NBFCs registered with RBI | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 |
| Trust Employee | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 |
| Overseas Depositories(holding DRs) (balancing figure) | 3 | 0 | 0 | 0 | 0.0388 | 26962 | 0 | 0.0388 | 0 | 0 | 0 | 0 | 26162 |
| Any Other (Specify) | 1012 | 1474452 | 0 | 0 | 2.1201 | 1474452 | 0 | 2.1201 | 0 | 0 | 0 | 0 | 1322736 |
| Trusts | 1 | 17920 | 0 | 0 | 0.0258 | 17920 | 0 | 0.0258 | 0 | 0 | 0 | 0 | 17920 |
| Foreign Nationals | 1 | 62 | 0 | 0 | 0.0001 | 62 | 0 | 0.0001 | 0 | 0 | 0 | 0 | 62 |
| Hindu Undivided Family | 288 | 446926 | 0 | 0 | 0.6426 | 446926 | 0 | 0.6426 | 0 | 0 | 0 | 0 | 446926 |
| Non Resident Indians (Non Repat) | 95 | 40784 | 0 | 0 | 0.0586 | 40784 | 0 | 0.0586 | 0 | 0 | 0 | 0 | 38508 |
| Non Resident Indians (Repat) | 469 | 308648 | 0 | 0 | 0.4438 | 308648 | 0 | 0.4438 | 0 | 0 | 0 | 0 | 159208 |
| Clearing Member | 24 | 17053 | 0 | 0 | 0.0245 | 17053 | 0 | 0.0245 | 0 | 0 | 0 | 0 | 17053 |
| Bodies Corporate | 134 | 643059 | 0 | 0 | 0.9247 | 643059 | 0 | 0.9247 | 0 | 0 | 0 | 0 | 643059 |
| Sub Total (B)(3) | 11482 | 8907533 | 0 | 0 | 12.8469 | 8934495 | 0 | 12.8469 | 0 | 0 | 0 | 0 | 643059 |



| | | | | | | | | | | | | | | | | |
|--|--------|----------|---|-------|----------|---------|----------|---|----------|---------|---|---------|---|---|----|----------|
| Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3) | 1.1512 | 19311692 | 0 | 26962 | 19338654 | 27.8071 | 19338654 | 0 | 19338654 | 27.8071 | 0 | 27.8071 | 0 | 0 | NA | 18805725 |
|--|--------|----------|---|-------|----------|---------|----------|---|----------|---------|---|---------|---|---|----|----------|

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

| | |
|---------------------|--|
| No. of shareholders | |
|---------------------|--|

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table V - Significant Beneficial Owners

| Sr. No. | Details of the SBO | | Details of the registered owners | | | Details of holding/ exercise of right of the SBO in the | | | | Date of creation/ acquisition of significant beneficial interest | |
|---------|--|---|----------------------------------|--|----------------|---|-----------------------|---------------|--|--|---------------------|
| | Name | Nationality (Applicable in case of Any other is selected) | Name | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Whether by virtue of: | | | | |
| | | | | | | | Shares | Voting rights | Rights on distributable dividend or any other distribution | | Exercise of control |
| 1 | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together) | United Kingdom | Nerka Chemicals Pvt Ltd | | India | India | 71.6356 | 71.6356 | Yes | Yes | 11/11/2010 |
| 2 | Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together) | United Kingdom | R Shroff Consultants Pvt Ltd | | India | India | 0.013 | 0.013 | Yes | Yes | 11/11/2010 |
| 3 | Shilpa P Sagar ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff) | United Kingdom | Shilpa P Sagar | | United Kingdom | United Kingdom | 0.4732 | 0.4732 | Yes | Yes | 26/03/1973 |
| 4 | Jyotsna J Bhatt ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff) | India | Jyotsna J Bhatt | | India | India | 0.0684 | 0.0684 | Yes | Yes | 18/10/1985 |
| 5 | Varun Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff) | United Kingdom | Varun Jaidev Shroff | | United Kingdom | United Kingdom | 0.0019 | 0.0019 | Yes | Yes | 01/04/2004 |
| 6 | Tania Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff) | United Kingdom | Tania Jaidev Shroff | | United Kingdom | United Kingdom | 0.0007 | 0.0007 | Yes | Yes | 01/10/2006 |

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